

**ANNEXURE - IV**

**PROFILE FORMAT FOR CONCURRENT AUDITORS (CA/COST ACCOUNTANT)**

1. Name of the CA/Cost Accountant Firm

: \_\_\_\_\_

2. Date of Establishment of the Firm

: \_\_\_\_\_

3. Firm Registration no. Allotted by ICAI/ICWAI:

: \_\_\_\_\_

**(Enclose a copy of the latest constitution certificate issued by them).**

4. Registration no. with RBI

: \_\_\_\_\_

**(Enclosed proof)**

5. Registration no. with C&AG

: \_\_\_\_\_

**(Enclosed Proof)**

6. Annual income of the Firm

: \_\_\_\_\_

**(Enclosed proof i.e., Copy of the latest Income Tax Return)**

7. Head Office of the Firm

a) Name of the Incharge

: \_\_\_\_\_

b) Address

: \_\_\_\_\_

c) Telephone No's

: \_\_\_\_\_

d) Fax No's

: \_\_\_\_\_

e) Mobile No's

: \_\_\_\_\_

f) E-mail Address

: \_\_\_\_\_

8. Particulars of Proprietor/Partner

S. No	Name	Age as on 01.04.2021	Regd no. with ICAI/ICWAI	Date of COP	Qualifications (FCA/ACA)	Specialized Degree/Diploma	Annual Income (with proof)
1							
2							
3							
4							
5							

9. Experience (Enclosed Details/documentary Evidences)

S.No	Nature of Audit	No. of Banks/Companies	No. of Business Unites	Period
1	Statutory audit a)As bank Central Statutory Auditors b)As banks Business Unit Auditors c)Audit of other Companies			
2	Concurrent /Revenue Audit/Inspection			
3	I.S.Audit			
4	Stock Audit			
5	Risk Based Audit			
6	Other(Specify)			

10. Addresses of the Branch (es) with name of Incharge of each such Branches have been brought to the notice of ICAI.

Business Unit	Name of the Incharge	Address(along with Phone/fax/mobile/e-mail)	Whether establishment brought to the notice of ICAI(Yes/NO)
A			
B			
C			
D			

11. Whether the Firm or any partner has ever been debarred by ICAI/ICWAI/RBI. If yes, details be mentioned.

S. No	Name of the Firm / Partner	Brief Reason for Debar

**Signature/Seal of CA/Cost Accountant Firm**

**Points of Declaration:**

1. I/We declare that my/our firm is not under any investigation of the government regulator or going through any disciplinary proceedings by Institute of Chartered Accountants of India (ICAI) / (ICAWAI).
2. I/We declare that my/our firm is not doing work for any client where the J&K Grameen Bank is directly or indirectly lending or working as advisor for them.
3. I/We declare that none of my/our firm's proprietor/partner is/are employed with the J&K Grameen Bank.
4. I/We are not disqualified as provided u/s 226 of the Company's Act 1956.
5. I/We have not carried out any statutory audit of J&K Grameen Bank during the immediately preceding year nor will be accepting the same in the current year.
6. I/We undertake that we will not sub-contract / sub-assign the audit assignment.
7. I/We hereby declare that we will not lobby directly or indirectly for consideration of any credit proposals of friends / relatives / clients / non clients of the bank.
8. I/We also hereby declare that if our name is included in the bank's list of approved Concurrent Auditors, we will undertake to do the tasks entrusted to us in the best interest of the bank.
9. I/We shall also abide by the rules and regulations of the Bank in force from time to time and will always keep the bank's interest foremost in our mind.
10. I/We hereby declare that the details / information furnished above are / is true and correct. In case, any details furnished above are found incorrect later on, the Bank has the right to terminate the assignment given, without giving any notice.
11. I/We hereby declare that I/We shall keep the information related to clients of the bank and bank's system's and procedure's confidential and shall not divulge the same to anyone.

**Signature/Seal of CA/Cost Accountant**